For Internal Use Submit 1 Original 2018 AUG 30 AM 9: 10 OMB APPROVAL Only and 9 Copies OMB Number: 3235-0504 Sec File No. 9-SEC Mail F Expires: August 31, 2010 UNITED STATES Estimated average burden SECURITIES AND EXCHANGE COMMISSION hours per response.....3.60 Washington, D.C. 20549 FORM 19b-4(e) Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: Nasdag BX, Inc. (traded pursuant to unlisted trading privileges) 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Open End Management Investment Company 3. Class of New Derivative Securities Product: **Exchange Traded Fund** 4. Name of Underlying Instrument: 18009971 **Actively Managed Portfolio of Securities** 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Not Applicable 6. Ticker Symbol(s) of New Derivative Securities Product: DRSK 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: OTC 8. Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 2 (cash settled) 9. Position Limits of New Derivative Securities Product (if applicable): N/A Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: William Slattery Title: Vice President Telephone Number:

SEC 2449 (6-01)

NINU. ann

Date: August 17, 2018

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Manual Signature of Official Responsible for Form:

Section 19b-4
Rule 19b-4(e)
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